

I WILL:

ACT WITH INTEGRITY, COMPETENCE, DIGNITY,  
AND IN AN ETHICAL MANNER  
WHEN DEALING WITH THE PUBLIC, CLIENTS,  
PROSPECTS, EMPLOYERS, EMPLOYEES,  
AND FELLOW MEMBERS.

PRACTICE AND ENCOURAGE OTHERS  
TO PRACTICE IN A PROFESSIONAL  
AND ETHICAL MANNER  
THAT WILL REFLECT CREDIT ON MEMBERS  
AND OUR PROFESSION.

STRIVE TO MAINTAIN AND IMPROVE  
MY COMPETENCE AND THE COMPETENCE  
OF OTHERS IN THE PROFESSION.

USE REASONABLE CARE  
AND EXERCISE INDEPENDENT PROFESSIONAL  
JUDGMENT.

ADHERE TO THIS CODE OF ETHICS.



Setting the global standard  
for investment professionals